

UNITED STATES OF AMERICA
SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL No. 3235-0008

SEC FILE No. 1-96203



CERTIFICATE OF REGISTRATION

BROKER-DEALER REGISTRATION

Pursuant to Section 15(b) of the Securities Exchange Act of 1934

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THIS IS TO CERTIFY THAT

ROBERT KANE

*having passed the requisite qualifications and examinations,
is hereby registered with the Securities and Exchange Commission
as a broker pursuant to Section 15(b) of the
Securities Exchange Act of 1934 and is a member
in good standing of the Financial Industry Regulatory Authority.*

REGISTRATION DETAILS

SEC FILE NUMBER: 1-96203

FINRA CRD NUMBER: 3028471

EFFECTIVE DATE: January 28, 2015